COPY OF PAPERS **ORIGINALLY FILED**

RECEIVED
MAR 2 6 2002 3738 1

OFFICE OF PETITIONS PTO/SB/21 (08-00) **□RECEIVED** ype a plus sign (+) inside this box → Approved for use through 10/31/2002. OMB 0651-0031 OCT 2 - 2002 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, https://ens.gare.required to respond to a collection of information unless it displays a valid OMB control number. EBIESTON umber 09/828,539 沼田 April 4, 2001 Filing Date TRANSMITTAL First Named Inventor **FORM** PREISSMAN, HOWARD Group Art Unit (to be used for all correspondence after initial filing) **Examiner Name** MILLER, CHERYL L. Attomey Docket Number PALX-002CON Total Number of Pages in This Submission ENCLOSURES (check all that apply) X Fee Transmittal Form After Allowance Communication **Assignment Papers** to Group (for an Application) Fee Attached Appeal Communication to Board Drawing(s) of Appeals and Interferences X Amendment / Reply Licensing-related Papers After Final Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Petition Affidavits/declaration(s) Proprietary Information Petition to Convert to a **Extension of Time Request Provisional Application** Status Letter **Express Abandonment Request** Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please Information Disclosure Statement Address identify below): **Terminal Disclaimer** Certified Copy of Priority **Documents** Request for Refund Response to Missing Parts/ Incomplete Application CD. Number of CD(s) Response to Missing Parts Remarks under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm FRANK P. BECKING, Reg. No. 42,309 Individual Name Signature Date Fébruary 28, 2002 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail

Date

February 28, 2002

in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 28, 2002

Typed or printed name

Signature

Teri Muir



COPY OF PAPERS **ORIGINALLY FILED**



TL ... UCUGY CENTER R3700

OFFICE OF PETITIONS 002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

nder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.									
CESE TO A NICHAITTAI					Complete if Known	Complete if Known			
FEE TRANSMITTAL		Application Number		Number	09/828,539	09/828,539			
or FY 2002		Filing Date			April 4, 2001				
WE 12 2002 SOFFY ZUUZ		First Named Inventor		Invento	or PREISSMAN, HOWARD				
MAR Patera fees are subject to annual revision.		Examiner Name		ame	MILLER, CHERYL L.				
<u>,</u> 6/		Group Art Unit			3738	70			
TATPARE ONT OF PAYMENT (\$) 187.00		Attorne			PALY-002CON	m			
	┰┦	Attorne	, DO			0 C)			
METHOD OF PAYMENT	₩			FE	E CALCULATION (continued)	5] 			
1. The Commissioner is hereby authorized to charge indicated	3.	ADDITIO				- M-			
fees and credit overpayments to: Deposit Account Number 50-0815		Large Entity		Smal Entit	y = -	ED 202			
Deposit Account Name Bozicevic, Field & Francis LLP	Fee	e Fee	Fee	Fee	For Bosonintian (C)	Fee Paid			
□ Charge Any Additional Fee Required	Co	de (\$)	Code	(\$)	Fee Description Surcharge – late filing fee or oath	ree raio			
Under 37 CFR 1.1 6 and 1.17	105	5 130	205	65	Surcharge – late filing fee or oath	'			
Applicant Claims small entity status. See 37 CFR 1.27	127	7 50	227	25	Surcharge – late provisional filing fee or cover sheet				
2. Payment Enclosed:	139	130	139	130	Non-English specification				
☐ Check ☐ Credit Card ☐ Money Order ☐ Other	147		147		0 For filing a request for ex parte reexamination	n			
FEE CALCULATION	112	920*	112		Requesting publication of SIR prior to	ı			
	ł				Examination action				
2. BASIC FILING FEE Large Entity Small Entity	113	3 1,840°	113	1,840	0*Requesting publication of SIR after Examiner action				
Fee Fee Fee Fee Description Fee Paid	115	5 110	215	55	Extension for reply within first month	AKCK NOC. AND CO.			
Code (\$) Code (\$) 101 740 201 370 Utility filing fee	116		216	200	Extension for reply within second month				
106 330 206 165 Design filing fee	117	920	217	460	Extension for reply within third month	& CM			
107 510 207 255 Plant filing fee	118	1,440	218	720	Extension for reply within third month Extension for reply within fourth month	را لا و ``			
108 740 208 370 Reissue filing fee	128	1,960	228	980	Extension for reply within fifth month				
114 160 214 80 Provisional filing fee	119	320	219	160	Notice of Appeal	67 G			
SUBTOTAL (1)	120		220	160	Filing a brief in support of an appeal	19 17 17 17 17 17 17 17 17 17 17 17 17 17			
1. EXTRA CLAIM FEES	121		221	140	Request for oral hearing	%			
Fee from	138	•	138 240	1,510 55	D Petition to institute a public use proceeding	78 ₂			
Extra Claims below Fee Paid Total Claims 24 -20** = 10 x 9.00 = 90.00	140		240	640	Petition to revive – unavoidable Petition to revive – unintentional	8			
Total Claims 24 -20** = 10 x 9.00 = 90.00 Indep. Claims 5-3** = 1 x 42.00 = 42.00	142	•	242	640	Utility issue fee (or reissue)				
Multiple Dependent =	143	•	243	230	Design issue fee	i			
	144		244	310	Plant issue fee				
Lance Entitle Compile Entitles	122	130	122	130	Petitions to the Commissioner				
Large Entity Small Entity Fee Fee Fee Fee Fee Description	123	50	123	50	Processing fee under 37 CFR 1.17(q)				
Code (\$) Code (\$)	126	180	126	180	Submission of Information Disclosure Stmt				
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3	581	40	581	40	Recording each patent assignment per property (times number of properties)				
104 280 204 140 Multiple dependent claim, if not paid	146	740	246	370	For each additional invention to be examined (37 CFR § 1.129(a))				
109 84 209 42 ** Reissue independent claims over original patent	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))				
• •	179	740	279	370	Request for Continued Examination (RCE)	.			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	169	900	169	900	Request for expedited examination of a design application				
SUBTOTAL (2) \$ 132.00	Oth	ther fee (specify) 1.20(d) Statutory Disclaimer			Statutory Disclaimer	55.00			
**or number previously paid, if greater; For Reissues, see above.	•Re	educed by	Basic F	Filing Fee	Paid SUBTOTAL (3)	\$) 55.00			

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Frank P. Becking	Registration No. (Attorney/Agent)	42,309	Telephone	(650) 327-3400
Signature	Track ? B	Date	02/28/2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.